



Alicia J. Keyes • Cabinet Secretary
Jon Clark • Deputy Cabinet Secretary

New Mexico Small Business Innovation Research Grant (NM SBIR/STTR Grant)

Provided by the Technology Research Collaborative and the New Mexico Economic Development Department

BACKGROUND : Small Business Innovation Research (SBIR) grants are competitive federal grants that support small businesses engaged in research and development (R&D) with a potential for commercialization. SBIR grants cover costs associated with R&D, but do not cover expenses related to business development. Ineligible costs include marketing and salaries for employees related to business operations.

The New Mexico SBIR/STTR Grant provides recipients additional resources to commercialize the technology developed with federal SBIR funding. This program leverages the fact that SBIR recipients have technologies vetted by national experts and provide funding that covers proof- of concept and R&D funding. State level matching programs nationwide are found to lead to follow-on funding, stimulate business growth and expansion, generate wealth, increase tax revenue and commercial viability of the receiving firms.

PURPOSE: The purpose of the NM SBIR/STTR Grant is to accelerate commercialization of technologies developed with SBIR awards. Awards will fund and assist New Mexico science and technology companies with the goal of market entry, customer acquisition, business expansion, job creation, and increasing the tax base.

ELIGIBILITY: 1) Applicants must be a New Mexico based company with a CRS Tax ID, a NM address, and an EIN number. 2) **Applicants must have an active Phase I or Phase II SBIR/STTR grant at time of application** 3) Applicants must agree that at least fifty-one percent (51%) of the activities conducted under the federal SBIR award grant and thirty-one percent (31%) of the state level funds will be performed in New Mexico. 4) Applicant must certify that they have not overdue tax debt or are not party to any claim or lawsuit. 5) Applicants must agree to all reporting and claw-back requirements 6) Applicants may only apply once per fiscal year.

EVALUATION: A committee will review the application and consider the following; use of funds, the strength and feasibility of the work plan, team and use of resources, and overall impact on New Mexico.

APPLICATION PROCESS: *Incomplete or incorrectly filled out applications will not be considered.*

Awards will be issued during the fiscal year July 1, 2019 to June 30th, 2020. Applications will be reviewed, scored, and applicants will be notified of the decision 2-3 weeks following the application deadline. **Phase I NM SBIR awards are capped at \$25,000 and Phase II NM SBIR awards are capped at \$100,000.**

REPORTING: Awardees are required to complete a short milestone report on their accomplishments three months after receiving the award, 6 months post-award, 12 months post-award, and 24 months post award. (Templates for milestone reports will be emailed to awardees) At this point the grant is considered complete. Failure to satisfy either reporting requirement will result in the company being ineligible for future grants. All grant funds must be invoiced and expended by fiscal year end, June 30th 2020.

APPLICATION: Please read the instructions prior to filling out the application. Required fields*
Email Completed Applications to Myrriah.Tomar@state.nm.us

COMPANY INFORMATION	
1. *Company Name	
2. *NM CRS Number	
3. *EIN Number	
4. *Street Address	
5. *Mailing Address If different from street address	
6. *Contact Name	
7. *Contact Email	
8. *Contact Number	
9. *Web Address	
10. *Founding Date (MM/YEAR)	(/)
11. * Primary NAICS Code	
12. *Main Technology Area (e.g photonics)	
13. *Is the technology licensed from a NM Institution? If so, which? (Y/N/NA)	
14. *Does the company actively export? (Y/ N/NA)	

COMPANY ELIGIBILIGY (Y/N)	
15. *Will at least fifty-one (51%) of activities related to federal SBIR and thirty percent (30%) of the state match be performed in New Mexico?	
16. *Is the company headquartered in and have more than fifty percent of its payroll in New Mexico?	
17. *Is the company party to any claim, lawsuit, or have any overdue tax debt?	

FEDERAL SBIR/STTR INFORMATION

18. *SBIR/STTR Phase (I/II)	
19. *Date of Award (MM/YEAR)	(/)
20. *Federal SBIR Award Agency	
21. *Federal Award Amount	
22. *Solicitation Number	
23. *Number of Previous Awards since 2017	
24. *Federal SBIR Phase I/II Award Abstract Title :	
25. * Copy and Paste your Federal SBIR Award Abstract below:	

FUND REQUEST

26. *Amount requested from NM SBIR Program:

27. *Description of the Technology. Using layman's terms, describe the technology being developed with the aid of the federal SBIR Award (*500 words or less*):

28. *Describe the product or service that the company will offer post commercialization of this SBIR funded technology (*500 words or less*):

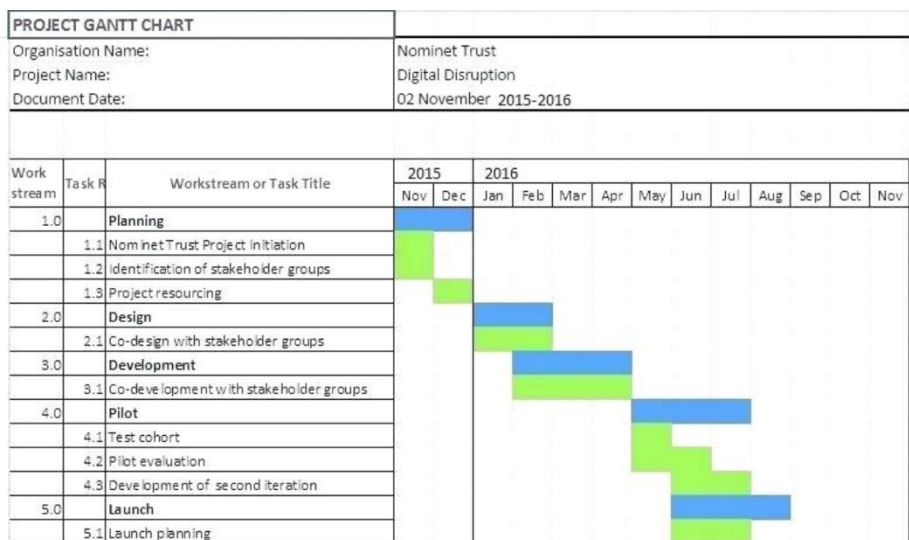
29. *Briefly describe the market and market size for this product or service:

30. *What is the company's business model? Relate the product or service described in your answer in Question 28 to this model.

Attach your answers for question 31-33 as separate pages to your application

31. *Describe how the company will use the matching funds to bring the SBIR supported technology closer to the market? (Attach as separate page).

32. * **Attach a Gantt chart for milestones you anticipate accomplishing if you were awarded the funds** (All proposed milestones must be completed by May 30th, 2020). (Attach as a separate page).



33. *Who makes up the team which will accomplish the activities and what are their qualifications? (Attach as a separate page).

ATTESTATION OF _____(Company Name) THAT
APPLICATION FOR NEW MEXICO SMALL BUSINESS INNOVATION
RESEARCH (SBIR) GRANT FUNDING IS TRUE AND CORRECT

I, _____(First, Last Name), am _____(Contact
Title) of _____, (Company Name) a New Mexico corporation with
its principal place of business at _____
_____(Street Address, City, State, Zip Code)

On the _____(Day) of _____(Month) _____(Year)

_____(Company Name)
submitted an application for a conditional NM SBIR grant to the Economic Development

Department of the State of New Mexico and acknowledges the information and documentation
contained therein has been and will be relied upon by The State of New Mexico in accepting and
approving the company listed above for economic assistance through the state SBIR Grant program.

I, therefore, in my capacity hereby attest that the information and documentation submitted to
the State of New Mexico Economic Development Department is true and correct.

Applicant First, Last Name

Applicant Signature (no-electronic signature)

Date